# Advanced Topics in Cryptography 

## Lecture 2

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Based on slides of Yehuda Lindell

## Zero Knowledge

- Prover P, verifier V, language L
- P proves that $x \in L$ without revealing anything
- Completeness: $\mathbf{V}$ always accepts when honest $\mathbf{P}$ and $\mathbf{V}$ interact
- Soundness: $\mathbf{V}$ accepts with negligible probability when $\mathbf{x} \notin \mathbf{L}$, for any $\mathbf{P}^{*}$
- Computational soundness: only holds when $\mathbf{P}^{*}$ is polynomial-time
- Zero-knowledge:
- There exists a simulator $\mathbf{S}$ such that $\mathbf{S}(\mathbf{x})$ is indistinguishable from a real proof execution


## ZK Proof of Knowledge

- Prover P, verifier V, relation R
- P proves that it knows a witness $w$ for which $(\mathrm{x}, \mathrm{w}) \in \mathrm{R}$ without revealing anything
- The proof is zero knowledge as before
* There exists an extractor $\mathbf{K}$ that can obtain from any $\mathbf{P}^{*}$,a w such that $(\mathbf{x}, \mathbf{w}) \in \mathbf{R}$, with the same probability that $\mathbf{P}^{*}$ convinces V.
- Equivalently:
- The protocol securely computes the functionality

$$
\mathbf{f}_{\mathbf{z k}}((\mathbf{x}, \mathrm{w}), \mathbf{x})=(-, \mathbf{R}(x, w))
$$

## Zero Knowledge

- An amazing concept; everything can be proven in zero knowledge
- Central to fundamental feasibility results of cryptography (e.g., GMW)
- But, can it be efficient?
- It seemed that zero-knowledge protocols for "interesting languages" are complicated and expensive
, Zero knowledge is often avoided at significant cost


## Sigma Protocols

- A way to obtain efficient zero knowledge
- Many general tools
- Many interesting languages can be proven with a sigma protocol


## An Example - Schnorr DLOG

- Let $G$ be a group of order $q$, with generator $g$
- $P$ and $V$ have input $h \in G$. $P$ has $w$ such that $g^{w}=h$
- $P$ proves that to $V$ that it knows $\mathrm{DLOG}_{g}(\mathrm{~h})$
- $\mathbf{P}$ chooses a random $\mathbf{r}$ and sends $\mathbf{a}=\mathrm{g}^{\mathbf{r}}$ to $\mathbf{V}$
- $\mathbf{V}$ sends $\mathbf{P}$ a random $\mathbf{e} \in\{\mathbf{0}, \mathbf{I}\}^{\text {t }}$
- $\mathbf{P}$ sends $\mathbf{z}=\mathbf{r}+e w \bmod \mathbf{q}$ to $\mathbf{V}$
- $\mathbf{V}$ checks that $\mathbf{g}^{\mathbf{z}}=\mathbf{a h}{ }^{\mathrm{e}}$
- Completeness

$$
g^{z}=g^{r+e w}=g^{r}\left(g^{w}\right)^{e}=a h^{e}
$$

## Schnorr's Protocol

- Proof of knowledge
- Assume $\mathbf{P}$ can answer two queries $\mathbf{e}$ and $\mathbf{e}^{\prime}$ for the same a
> Then, it holds that $\mathbf{g z}^{\mathbf{z}}=\mathbf{a h}{ }^{\mathrm{e}}, \mathrm{g}^{z^{\prime}=}=\mathbf{a} \mathbf{h}^{\mathrm{e}^{\prime}}$
- Thus, $\mathbf{g}^{\mathbf{z}} \mathbf{h}^{-\mathrm{e}}=\mathbf{g}^{z^{\prime}} \mathbf{h}^{-\mathrm{e}^{\prime}}$ and $\mathbf{g}^{\mathbf{z}-\mathbf{z}^{\prime}}=\mathbf{h}^{\mathrm{e}-\mathrm{e}^{\prime}}$
* Therefore $\mathbf{h}=\mathbf{g}^{\left(\mathbf{z}-\mathbf{z}^{\prime}\right) /\left(\mathrm{e}-\mathrm{e}^{\prime}\right)}$

- That is: $\operatorname{DLOGg}(\mathbf{h})=\left(\mathbf{z - z} \mathbf{z}^{\prime}\right) /\left(\mathbf{e}-\mathbf{e}^{\prime}\right)$
- Conclusion:
- If $\mathbf{P}$ can answer with probability greater than $\mathbf{I} / \mathbf{2}^{\mathbf{t}}$, then it must know the dlog


## Schnorr's Protocol

- What about zero knowledge? This does not seem easy.
- But ZK holds if the verifier sends a random challenge e
- This property is called "Honest-verifier zero knowledge"
, The simulation:
- Choose a random $\mathbf{z}$ and $\mathbf{e}$, and compute $\mathbf{a}=\mathbf{g}^{\mathbf{z}} \mathbf{h}^{-\mathbf{e}}$
- Clearly, (a,e,z) have the same distribution as in a real run, and $g^{z}=a^{e}$
- This is not a very strong guarantee, but we will see that it yields efficient general ZK.


## Definitions

- Sigma protocol template
- Common input: $\mathbf{P}$ and $\mathbf{V}$ both have $\mathbf{x}$
- Private input: $\mathbf{P}$ has $\mathbf{w}$ such that $(\mathbf{x}, \mathbf{w}) \in \mathbf{R}$
- Protocol:
- $\mathbf{P}$ sends a message $\mathbf{a}$
- $\mathbf{V}$ sends a random $\mathbf{t}$-bit string $\mathbf{e}$
- $\mathbf{P}$ sends a reply z
- $\mathbf{V}$ accepts based solely on ( $\mathbf{x}, \mathbf{a}, \mathbf{e}, \mathbf{z}$ )


## Definitions

- Completeness: as usual
- Special soundness:
- There exists an algorithm $\mathbf{A}$ that given any $\mathbf{x}$ and pair of transcripts ( $\mathbf{a}, \mathbf{e}, \mathbf{z}$ ),( $\left.\mathbf{a}, \mathbf{e}^{\prime}, \mathbf{z}^{\prime}\right)$ with $\mathbf{e}=\mathbf{e}^{\prime}$ outputs $\mathbf{w}$ s.t. $(\mathbf{x}, \mathbf{w}) \in \mathbf{R}$
- Special honest-verifier ZK
* There exists an $\mathbf{M}$ that given any $\mathbf{x}$ and $\mathbf{e}$ outputs ( $\mathbf{a}, \mathbf{e}, \mathbf{z}$ ) which is distributed exactly like a real execution where $\mathbf{V}$ sends $\mathbf{e}$


## Sigma Protocol for proving a DH Tuple

- Relation R of Diffie-Hellman tuples
b $(\mathbf{g}, \mathbf{h}, \mathbf{u}, \mathbf{v}) \in \mathbf{R}$ iff there exists $\mathbf{w}$ s.t. $\mathbf{u}=\mathbf{g}^{\mathbf{w}}$ and $\mathbf{v}=\mathbf{h}^{\mathbf{w}}$
, Useful in many protocols
- This is a proof of membership, not of knowledge
- Protocol
- $\mathbf{P}$ chooses a random $\mathbf{r}$ and sends $\mathbf{a}=\mathbf{g}^{r}, \mathbf{b}=\mathbf{h}^{\boldsymbol{r}}$
- $\mathbf{V}$ sends a random $\mathbf{e}$
- $\mathbf{P}$ sends $\mathbf{z = r} \mathbf{r e w} \bmod \mathbf{q}$
- $\mathbf{V}$ checks that $\mathbf{g}^{\mathbf{z}}=\mathbf{a u} \mathbf{u}^{\mathrm{e}}, \mathbf{h}^{\mathbf{z}}=\mathbf{b v}^{\mathbf{e}}$


## Sigma Protocol DH Tuple

- Completeness: as in DLOG
- Special soundness:
> Given (a,b,e,z),(a,b, e', z'), we have $g^{z}=a u^{e}, g^{z^{\prime}}=a u^{e^{\prime}}, h^{\mathrm{z}}=b v^{\mathrm{e}}, \mathrm{h}^{z^{\prime}}=b v^{\mathrm{e}^{\prime}}$ and so like in DLOG on both
b $w=\left(z-z^{\prime}\right) /\left(e-e^{\prime}\right)$

$$
\begin{aligned}
& \underline{\mathbf{P}}((\mathrm{g}, \mathrm{~h}, \mathrm{u}, \mathrm{v}), \mathrm{w}) \quad \underline{\mathrm{V}} \\
& \xrightarrow{a=g^{r}, b=h^{r}} \\
& \text { e } \\
& \leftarrow e \mathrm{e} \\
& \begin{array}{l}
g^{2}{ }^{?}=a u^{e} \\
h^{2}=b v^{e}
\end{array}
\end{aligned}
$$

- Special HVZK
> Given (g,h,u,v) and e, choose random z and compute
> $\mathrm{a}=\mathrm{g}^{\mathrm{z}} \mathrm{u}^{-e}$
, $b=h^{z} v^{-e}$


## Basic Properties

- Any sigma protocol is an interactive proof with soundness error $2^{-t}$
- Properties of sigma protocols are invariant under parallel composition
- Any sigma protocol is a proof of knowledge with error 2-t
* The difference between the probability that $\mathbf{P}^{*}$ convinces $\mathbf{V}$ and the probability that an extractor $\mathbf{K}$ obtains a witness is at most $2^{-t}$
- Proof needs some work


## Tools for Sigma Protocols

- Prove compound statements
- AND, OR, subset
- ZK from sigma protocols
- Can first make a compound sigma protocol and then compile it
- ZKPOK from sigma protocols


## AND of Sigma Protocols

- To prove the AND of multiple statements
- Run all in parallel
- Can use the same verifier challenge $\mathbf{e}$ in all
- Sometimes it is possible to do better than this
- Statements can be batched
- E.g. proving that many tuples are DDH can be done in much less time than running all proofs independently
- Batch all into one tuple and prove


## OR of Sigma Protocols

- This is more complicated
- Given two statements and two appropriate Sigma protocols, wish to prove that at least one is true, without revealing which
- The solution - an ingenious idea from [CDS]
- Using the simulator, if $\mathbf{e}$ is known ahead of time it is possible to cheat
* We construct a protocol where the prover can cheat in one out of the two proofs


## OR of Sigma Protocols

- The template for proving $\mathrm{x}_{0}$ or $\mathrm{x}_{1}$ :
- $\mathbf{P}$ sends two first messages $\left(\mathbf{a}_{0}, \mathbf{a}_{1}\right)$
- V sends a single challenge $\mathbf{e}$
- $\mathbf{P}$ replies with
- Two challenges $\mathbf{e}_{0}, \mathbf{e}_{\mathbf{1}}$ s.t. $\mathbf{e}_{0} \oplus \mathbf{e}_{\mathbf{1}}=\mathbf{e}$
- Two final messages $\mathbf{z}_{\mathbf{0}}, \mathbf{z}_{\mathbf{I}}$
- $\mathbf{V}$ accepts if $\mathbf{e}_{0} \oplus \mathbf{e}_{\mathbf{I}}=\mathbf{e}$ and $\left(\mathbf{a}_{0}, \mathbf{e}_{0}, \mathbf{z}_{0}\right),\left(\mathbf{a}_{1}, \mathbf{e}_{1}, \mathbf{z}_{\mathbf{I}}\right)$ are both accepting
- How does this work?


## OR of Sigma Protocols

- $\mathbf{P}$ sends two first messages $\left(\mathbf{a}_{0}, \mathbf{a}_{1}\right)$
- Suppose that $\mathbf{P}$ has a witness for $\mathbf{x}_{0}$ (but not for $\mathbf{x}_{1}$ )
- $\mathbf{P}$ chooses a random $\mathbf{e}_{1}$ and runs SIM to get $\left(\mathbf{a}_{1}, \mathbf{e}_{1}, \mathbf{z}_{1}\right)$
- $\mathbf{P}$ sends $\left(\mathbf{a}_{0}, \mathbf{a}_{\mathbf{1}}\right)$
- $\mathbf{V}$ sends a single challenge $\mathbf{e}$
- $\mathbf{P}$ replies with $\mathrm{e}_{0}, \mathrm{e}_{1}$ s.t. $\mathrm{e}_{0} \oplus \mathrm{e}_{1}=\mathrm{e}$ and with $\mathrm{z}_{0}, \mathrm{z}_{1}$
- $\mathbf{P}$ already has $\mathbf{z}_{\mathbf{I}}$ and can compute $\mathbf{z}_{\mathbf{0}}$ using the witness
- Soundness
- If $P$ doesn't know a witness for $\mathbf{x}_{1}$, he can only answer for a single $\mathbf{e}_{1}$
- This means that $\mathbf{e}$ defines a single challenge $\mathbf{e}_{0}$, like in a regular proof


## OR of Sigma Protocols

- Special soundness
- Relative to first message ( $\mathbf{a}_{0}, \mathbf{a}_{1}$ ), and two different $\mathbf{e}, \mathbf{e}^{\prime}$, it holds that either $\mathbf{e}_{0} \neq \mathbf{e}^{\prime}{ }_{0}$ or $\mathbf{e}_{1} \neq \mathbf{e}^{\prime}{ }_{1}$ (because $\mathbf{e}_{0} \oplus \mathbf{e}_{1}=\mathbf{e}$ and $\mathbf{e}^{\prime}{ }_{0} \oplus \mathbf{e}^{\prime}{ }_{1}=\mathbf{e}^{\prime}$ ).
- Thus, we will obtain two different continuations for at least one of the statements, and from the special soundness of a single protocol it is possible to compute a witness for that statement, which is also a witness for the OR statement.
- Honest verifier ZK
b Can choose both $\mathbf{e}_{0}, \mathbf{e}_{1}$, so no problem
- Note: it is possible to prove an OR of different statements using different protocols


## OR of Many Statements

- Prove $k$ out of $n$ statements $x_{1}, \ldots, x_{n}$
- $\mathbf{A}=$ set of indices that prover knows how to prove; the other indices are denoted as $\mathbf{B}$
- Use secret sharing with threshold $n-k$
- Field elements I,2,..,n, polynomial $\mathbf{f}$ with free coefficient s
- Share of $\boldsymbol{s}$ for party $\mathbf{P}_{\mathrm{i}}: \mathbf{f}(\mathrm{i})$
- Prover
- For every $\mathbf{i} \in \mathbf{B}$, prover generates $\left(\mathbf{a}_{\mathbf{i}}, \mathbf{e}_{\mathbf{i}}, \mathbf{z}_{\mathbf{i}}\right)$ using SIM
- For every $\mathbf{j} \in \mathbf{A}$, prover generates $\mathbf{a}_{\mathbf{j}}$ as in protocol
- Prover sends $\left(\mathbf{a}_{1}, \ldots, \mathbf{a}_{\mathbf{n}}\right)$


## OR of Many Statements

- Prover sent $\left(\mathbf{a}_{1}, \ldots, \mathbf{a}_{n}\right)$
- Verifier sends a random field element $e \in F$
- Prover finds the polynomial $f$ of degree $n-k$ passing through all $\left(\mathrm{i}, \mathrm{e}_{\mathrm{i}}\right)$ and $(0, \mathrm{e})$ (for $\mathrm{i} \in \mathrm{B}$ )
- The prover computes $\mathbf{e}_{\mathbf{j}}=\mathrm{f}(\mathrm{j})$ for every $\mathbf{j} \in \mathbf{A}$
- The prover computes $\mathbf{z}_{\mathbf{j}}$ as in the protocol, based on transcript $\mathrm{a}_{\mathrm{j}}, \mathrm{e}_{\mathrm{j}}$
- Soundness follows because there are |F| possible vectors and the prover can only answer one


## General Compound Statements

- This can be generalized to any monotone formula (meaning that the formula contains AND/OR but no negations)
- See Cramer, Damgård, Schoenmakers, Proofs of partial knowledge and simplified design of witness hiding protocols, CRYPTO'94.

