

# Introduction to Cryptography

## Lecture 1

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# Administrative Details

- Web page:  
<http://pinkas.net/teaching/itc/2012/course.html>
- Grade
  - Exam 75%, homework 25%
- Email: [benny@pinkas.net](mailto:benny@pinkas.net)
- Goal: Learn the basics of modern cryptography
- Method: introductory, applied, precise.

# Bibliography

- Textbooks:
  - *Introduction to Modern Cryptography*, by J. Katz and Y. Lindell.
  - *Cryptography Theory and Practice, Second (or third) edition* by D. Stinson. (Also, מדריך למידה בעברית של האוניברסיטה הפתוחה!)

# Bibliography

- Optional reading:
  - *Handbook of Applied Cryptography*, by A. Menezes, P. Van Oorschot, S. Vanstone. (Free!)
  - *Applied Cryptography*, by B. Schneier.

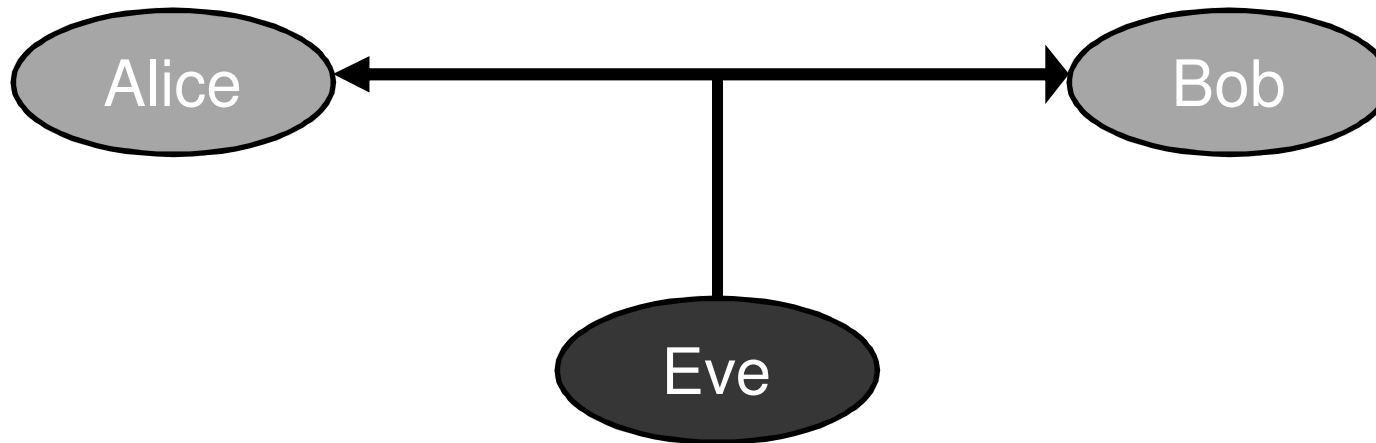
# Probability Theory

- One of the prerequisites of this course is the course “Introduction to probability”
  - If you haven’t taken that course, it is your responsibility to learn the relevant material.
  - You can read Luca Trevisan’s notes on discrete probability, available at <http://www.cs.berkeley.edu/~luca/notes/notesprob.pdf>
  - Afterwards, you can also read the part on probability in Chapter 2 of the Handbook of Applied Cryptography, which is available at <http://www.cacr.math.uwaterloo.ca/hac/about/chap2.pdf>

# Course Outline

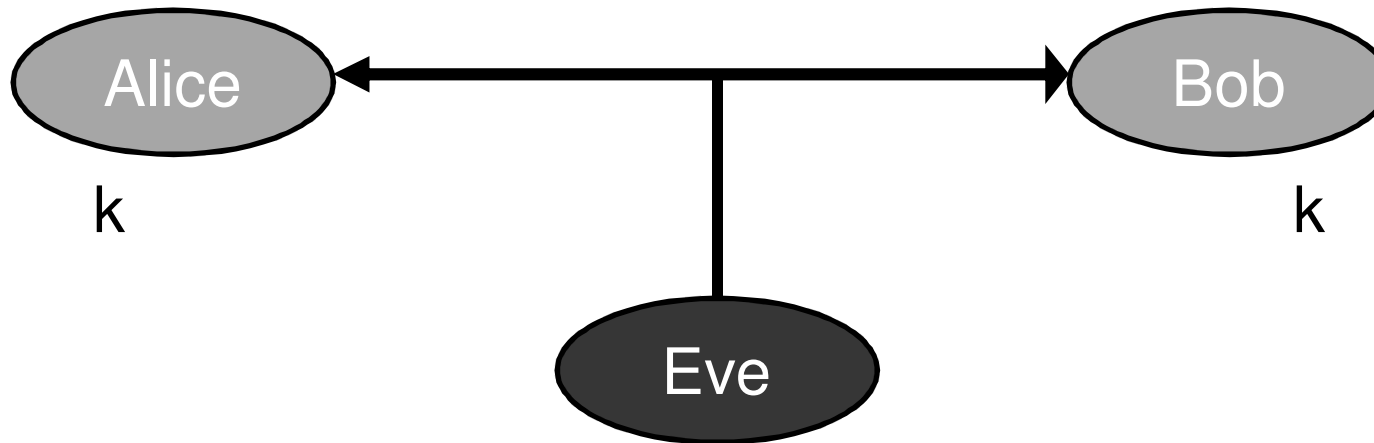
- Course Outline
  - Data secrecy: encryption
    - Symmetric encryption
    - Asymmetric (public key) encryption
  - Data Integrity: authentication, digital signatures.
  - Required background in number theory
  - Public key encryption
  - Cryptographic protocols

# Encryption



- Two parties: Alice and Bob
- Reliable communication link
- Goal: send a message while hiding it from Eve (as if Alice and Bob were both in the same room)
- Examples: military communication, Internet communication (HTTPS), wireless traffic (801.11, GSM, Bluetooth), disk encryption.

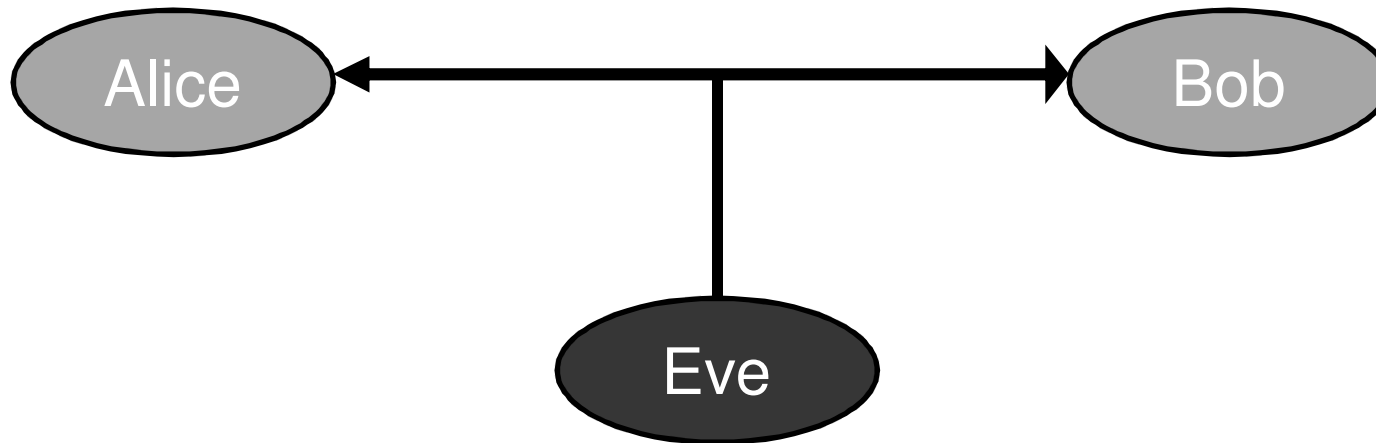
# Secret key



- Alice/Bob must have some secret information that Eve does not know. Otherwise...
- In symmetric encryption, Alice and Bob share a secret key  $k$ , which they use for encrypting and decrypting the message.



# Authentication / Signatures



- Goal:
  - Enable Bob to verify that Eve did not change messages sent by Alice
  - Enable Bob to prove to others the origin of messages sent by Alice
- (We'll discuss these issues in later classes)

# Encryption + Authentication

- Ensure that no eavesdropping or tampering happen to
  - Web traffic
  - Wireless communication
  - Protected files on disk

# Cryptography is a rigorous science

- To build a secure cryptographic tool
  - Specify the threat model
  - Propose a construction
  - Prove that breaking the construction means that the threat model is either impossible, or is equivalent to solving some problem which everyone believes to be hard.

# Encryption

- Message space  $\{m\}$  (e.g.  $\{0,1\}^n$ )
  - Key generation algorithm
  - Encryption key  $k_1$ , decryption key  $k_2$
  - Encryption function  $E$
  - Decryption function  $D$
- } Define the encryption system



- For every message  $m$ 
  - $D_{k_2}(E_{k_1}(m)) = m$
  - I.e., the decryption of the encryption of  $m$  is  $m$
- Symmetric encryption  $k = k_1 = k_2$

# Defining an Encryption Scheme

- Must specify the following three algorithms
  - GEN
    - key generation
  - ENC
    - Input: encryption key, plaintext
    - Output: ciphertext
  - DEC
    - Input: decryption key, ciphertext
    - Output: plaintext

# Security Goals

(1) No adversary can determine  $m$

*or, even better,*

(2) No adversary can determine any new info about  $m$

- Suppose  $m = \text{"attack on Sunday, at 17:15"}$ .
- Is it secure if the adversary can only learn that
  - $m = \text{"attack on S**day, a* 17:**"}$
  - $m = \text{"***** ** *u***** ** *****"}$
- Here, goal (1) is satisfied, but not goal (2)
- We will discuss this in more detail...

# Adversarial Model

- To be on the safe side, assume that adversary knows the encryption and decryption algorithms  $E$  and  $D$ , and the *message space*.
- Kerckhoff's Principle (1883)



# Adversarial Model

- To be on the safe side, assume that adversary knows the encryption and decryption algorithms  $E$  and  $D$ , and the *message space*.
- Kerckhoff's Principle (1883)
  - The only thing Eve does not know is the secret key  $k$
  - The design of the cryptosystem is public
  - This is convenient
    - Only a short key must be kept secret.
    - If the key is revealed, replacing it is easier than replacing the entire cryptosystem.
    - Supports standards: the standard describes the cryptosystem and any vendor can write its own implementation (e.g., SSL)



# Adversarial Model

- Keeping the design public is also crucial for security
  - Allows public scrutiny of the design (Linus' law: "given enough eyeballs, all bugs are shallow")
  - The cryptosystem can be examined by "ethical hackers"
  - Being able to reuse the same cryptosystem in different applications enables to spend more time on investigating its security
  - No need to take extra measures to prevent reverse engineering
  - Focus on securing the key
- Examples
  - Security through obscurity, Intel's HDCP, GSM A5/1. ☹️
  - DES, AES, SSL 😊

# Adversarial Power

- What does the adversary know or seen before?
- Types of attacks:
  - Ciphertext only attack – ciphertext known to the adversary (eavesdropping)
  - Known plaintext attack – plaintext and ciphertext are known to the adversary
  - Chosen plaintext attack – the adversary can choose the plaintext and obtain its encryption (e.g. adversary has access to the encryption system)
  - Chosen ciphertext attack – the adversary can choose the ciphertext and obtain its decryption

# Adversarial Power

- What is the computational power of the adversary?
  - Polynomial time?
  - Unbounded computational power?
  
- We might assume restrictions on the adversary's capabilities, but we cannot assume that it is using specific attacks or strategies.

# Breaking the Enigma

- German cipher in WW II
- Kerckhoff's principle
- Known plaintext attack
- (somewhat) chosen plaintext attack



# Caesar Cipher

- A shift cipher
- Plaintext: “ATTACK AT DAWN”
- Ciphertext: “DWWDFN DW GDZQ”
- Key:  $k \in_{\mathbb{R}} \{0,25\}$ . (In this example  $k=3$ )
  
- More formally:
  - Key:  $k \in_{\mathbb{R}} \{0\dots25\}$ , chosen at random.
  - Message space: English text (i.e.,  $\{0\dots25\}^{|m|}$ )
  - Algorithm: ciphertext letter = plaintext letter +  $k \bmod 26$
- Follows Kerckhoff’s principle
  - But not a good cipher
- A similar “cipher”: ROT-13

# Brute Force Attacks

- Brute force attack: adversary tests all possible keys and checks which key decrypts the message
  - *Note that this assumes we can identify the correct plaintext among all plaintexts generated by the attack*
- Caesar cipher:  $|\text{key space}| = 26$
- We need a larger key space
- Usually, the key is a bit string chosen uniformly at random from  $\{0,1\}^{|k|}$ . Implying  $2^{|k|}$  equiprobable keys.
- How long should  $k$  be?
- The adversary should not be able to do  $2^{|k|}$  decryption trials

# Adversary's computation power

- Theoretically
  - Adversary can perform  $\text{poly}(|k|)$  computation
  - Key space =  $2^{|k|}$
- Practically
  - $|k| = 64$  is too short for a key length
  - $|k| = 80$  starts to be reasonable
  - Why? (what can be done by 1000 computers in a year?)
    - $2^{55} = 2^{20}$  (ops per second)
    - $\times 2^{20}$  (seconds in two weeks)
    - $\times 2^5$  ( $\approx$  fortnights in a year) (might invest more than a year..)
    - $\times 2^{10}$  (computers in parallel – easy on the cloud)
- All this, assuming that the adversary cannot do better than a brute force attack

# Monoalphabetic Substitution cipher

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V	W	X	Y	Z
Y	A	H	P	O	G	Z	Q	W	B	T	S	F	L	R	C	V	M	U	E	K	J	D	I	X	N

- Plaintext: “ATTACK AT DAWN”
- Ciphertext: “YEEYHT YE PYDL”
- More formally:
  - Plaintext space = ciphertext space =  $\{0..25\}^{|m|}$
  - Key space = 1-to-1 mappings of  $\{0..25\}$  (i.e., permutations)
  - Encryption: map each letter according to the key
- Key space size?



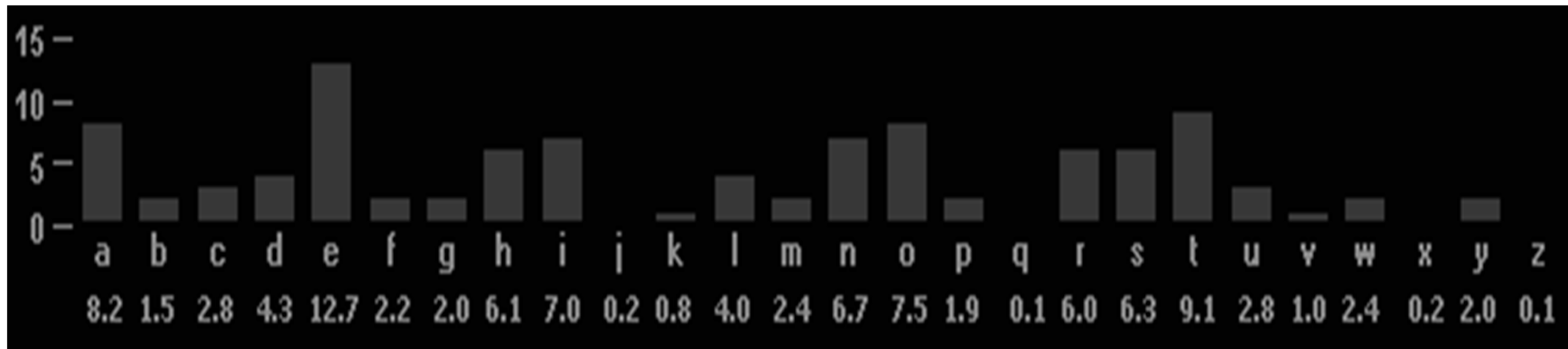
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Y	A	H	P	O	G	Z	Q	W	B	T	S	F	L	R	C	V	M	U	E	K	J	D	I	X	N

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  - Encryption: map each letter according to the key
- $| \text{Key space} | = 26! \approx 4 \times 10^{28} \approx 2^{95}$ . (Large enough.)
- Still easy to break

# Breaking the substitution cipher

- The plaintext has a lot of structure
  - Known letter distribution in English (e.g.  $\Pr(\text{“e”}) = 13\%$ ).
  - Known distribution of pairs of letters (“th” vs. “jj”)



- We can also use the fact that the mapping of plaintext letters to ciphertext letters is fixed

# Cryptanalysis of a substitution cipher

- QEFPP FP QEB CFOPQ QBUQ
- QEFPP FP QEB CFOPQ QBUQ
- TH TH T T T
- THFP FP THB CFOPT TBUT
- THIS IS TH I ST T T
- THIS IS THB CIOST TBUT
- THIS IS THE I ST TE T
- THIS IS THE FIRST TEXT

# The Vigenere cipher

- Plaintext space = ciphertext space =  $\{0..25\}^{|m|}$
- Key space = strings of  $|k|$  letters  $\{0..25\}^{|K|}$
- Generate a pad by repeating the key until it is as long as the plaintext (e.g., “SECRETSECRETSEC..”)
- Encryption algorithm: add the corresponding characters of the pad and the plaintext
  - THIS IS THE PLAINTEXT TO BE ENCRYPTED
  - SECR ET SEC RETSECRET SE CR ETSECRETSE
- $|\text{Key space}| = 26^{|k|}$ . (k=17 implies  $|\text{key space}| \approx 2^{80}$ )
- Each plaintext letter is mapped to  $|k|$  different letters

# Attacking the Vigenere cipher

- Known plaintext attack (or rather, known plaintext distribution)
  - Guess the key length  $|k|$
  - Examine every  $|k|$ 'th letter, this is a shift cipher
    - THIS IS THE PLAINTEXT TO BE ENCRYPTED
    - SECR ET SEC RETSECRET SE CR ETSECRETS
  - Attack time:  $(|k-1| + |k|) \times \text{time of attacking a shift cipher}^{(1)}$

- Chosen plaintext attack:
  - Use the plaintext “aaaaaa...”

(1) How?

- $|k-1|$  failed tests for key lengths  $1, \dots, |k-1|$ .  $|k|$  tests covering all  $|k|$  letters of the key.
- Attacking the shift cipher: Assume known letter frequency (no known plaintext). Can check the difference of resulting histogram from the English letters histogram.

# Perfect Cipher

- What type of security would we like to achieve?
- In an “ideal” world, the message will be delivered in a magical way, out of the reach of the adversary
  - We would like to achieve similar security
- “Given the ciphertext, the adversary has no idea what the plaintext is”
  - Impossible since the adversary might have a-priori information
- A perfect cipher:
  - The ciphertext does not add information about the plaintext

# Probability distributions

- Definition: a *cipher is perfect* iff for all  $P, C$ 
  - $Pr(\text{plaintext} = P \mid \text{ciphertext} = C) = Pr(\text{plaintext} = P)$
- $Pr(\text{plaintext} = P \mid \text{ciphertext} = C)$
- The probability is taken over the choices of the key, the plaintext, and the ciphertext.
  - Key: Its probability distribution is usually uniform.
  - Plaintext: has an arbitrary distribution
    - Not necessarily uniform ( $Pr("e") > Pr("j")$ ).
  - Ciphertext: Its distribution is determined given the cryptosystem and the distributions of key and plaintext.
  - A simplifying assumption: All plaintext and ciphertext values have positive probability.

# Perfect Cipher

- For a *perfect cipher*, it holds that given ciphertext  $C$ ,
  - $Pr(\textit{plaintext} = P \mid C) = Pr(\textit{plaintext} = P)$
  - i.e., knowledge of ciphertext does not change the a-priori distribution of the plaintext
  - Probabilities taken over key space and plaintext space
  - Does this hold for monoalphabetic substitution?



# Perfect Cipher

- Perfect secrecy is a property (which we would like cryptosystems to have)
- We will now show a specific cryptosystem that has this property
- One Time Pad (Vernam cipher): (for a one bit plaintext)
  - Plaintext  $p \in \{0,1\}$
  - Key  $k \in_R \{0,1\}$  (i.e.  $Pr(k=0) = Pr(k=1) = 1/2$ )
  - Ciphertext =  $p \oplus k$
  - Is this a perfect cipher? What happens if we know a-priori that  $Pr(plaintext=1)=0.8$  ?

# The one-time-pad is a perfect cipher

$$\text{ciphertext} = \text{plaintext} \oplus k$$

Lemma:  $Pr(\text{ciphertext} = 0) = Pr(\text{ciphertext} = 1) = 1/2$   
(regardless of the distribution of the plaintext)

$$\begin{aligned} & Pr(\text{ciphertext} = 0) \\ &= Pr(\text{plaintext} \oplus \text{key} = 0) \\ &= Pr(\text{key} = \text{plaintext}) \\ &= Pr(\text{key}=0) \cdot Pr(\text{plaintext}=0) + Pr(\text{key}=1) \cdot Pr(\text{plaintext}=1) \\ &= 1/2 \cdot Pr(\text{plaintext}=0) + 1/2 \cdot Pr(\text{plaintext}=1) \\ &= 1/2 \cdot (Pr(\text{plaintext}=0) + Pr(\text{plaintext}=1)) = 1/2 \end{aligned}$$

# The one-time-pad is a perfect cipher

$$\text{ciphertext} = \text{plaintext} \oplus k$$

$$\begin{aligned} & Pr(\text{plaintext} = 1 \mid \text{ciphertext} = 1) \\ &= Pr(\text{plaintext} = 1 \ \& \ \text{ciphertext} = 1) / Pr(\text{ciphertext} = 1) \\ &= Pr(\text{plaintext} = 1 \ \& \ \text{ciphertext} = 1) / 1/2 \\ &= Pr(\text{ciphertext} = 1 \mid \text{plaintext} = 1) \cdot Pr(\text{plaintext} = 1) / 1/2 \\ &= Pr(\text{key} = 0) \cdot Pr(\text{plaintext} = 1) / 1/2 \\ &= 1/2 \cdot Pr(\text{plaintext} = 1) / 1/2 \\ &= Pr(\text{plaintext} = 1) \end{aligned}$$

The perfect security property holds

# One-time-pad (OTP) - the general case

- Plaintext =  $p_1p_2\dots p_m \in \Sigma^m$  (e.g.  $\Sigma=\{0,1\}$ , or  $\Sigma=\{A\dots Z\}$ )
- key =  $k_1k_2\dots k_m \in_R \Sigma^m$
- Ciphertext =  $c_1c_2\dots c_m$ ,  $c_i = p_i + k_i \pmod{|\Sigma|}$
- Essentially a shift cipher with a different key for every character, or a Vigenere cipher with  $|k|=|P|$
- Shannon [47,49]:
  - An OTP is a perfect cipher, unconditionally secure. 😊
  - As long as the key is a random string, of the same length as the plaintext. 😞
  - Cannot use
    - Shorter key (e.g., Vigenere cipher)
    - A key which is not chosen uniformly at random

# Size of key space

- Theorem: For a perfect encryption scheme, the number of keys is at least the size of the message space (number of messages that have a non-zero probability).
- Proof:
  - Consider ciphertext  $C$ .
  - $C$  must be a possible encryption of any plaintext  $m$ .
  - But, for this we need a different key per message  $m$ .
- Corollary: Key length of one-time pad is optimal 😞

# Keys which are not chosen at random

- If the key is not random, the OTP is insecure.
- In particular, if text is used as the key, then the ciphertext can be easily broken.
- What about reusing the key two times or more?

# Perfect Ciphers

- A simple criteria for perfect ciphers.
- Claim: The cipher is perfect if, and only if,  
 $\forall m_1, m_2 \in M, \forall \text{cipher } c,$   
 $\Pr(\text{Enc}(m_1)=c) = \Pr(\text{Enc}(m_2)=c).$  (recitation)
- Idea: Regardless of the plaintext, the adversary sees the same distribution of ciphertexts.
- Note that the proof cannot assume that the cipher is the one-time-pad, but rather only that  $\Pr(\text{plaintext} = P \mid \text{ciphertext} = C) = \Pr(\text{plaintext} = P)$

# What we've learned today

- Introduction
- Kerckhoff's Principle
- Some classic ciphers
  - Brute force attacks
  - Required key length
  - A large key does not guarantee security
- Perfect ciphers